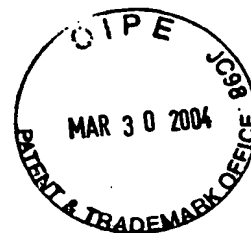


Docket No. 216353US90/hc

# 8  
4-1-04



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Yuji ABURAKAWA, et al.

SERIAL NO: 09/988,555

GAU: 2681

FILED: November 20, 2001

EXAMINER:

FOR: INFORMATION TRANSMISSION SYSTEM AND INFORMATION TRANSMISSION METHOD, AND,  
OPTICAL SPACE TRANSMISSION SYSTEM AND OPTICAL SPACE TRANSMISSION METHOD

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

**RECEIVED**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

MAR 31 2004

SIR:

Applicant(s) wish to disclose the following information.

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**REFERENCES**

- ☒ The applicant(s) wish to make of record the references cited in the attached The People's Republic of China Office Action listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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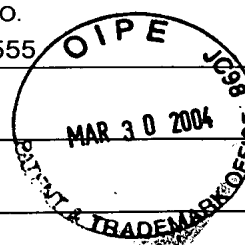
Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

216353US90

SERIAL NO.

09/988,555



## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Yuji ABURAKAWA, et al.

FILING DATE

November 20, 2001

GROUP

2681

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,844,705	12/01/1998	C. L. RUTLEDGE			
	AB	5,748,623	05/05/1998	M. SAWAHASHI, et al.			
	AC						
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MAR 31 2004

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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	1190828	08/19/1998	THE PEOPLE'S REPUBLIC OF CHINA (with corr. US 5,844,705)		X
	AP	1115195	01/17/1996	THE PEOPLE'S REPUBLIC OF CHINA (with corr. US 5,748,623)		X
	AQ	44 33 896	11/09/1995	GERMANY		X
	AR	1451210	10/22/2003	THE PEOPLE'S REPUBLIC OF CHINA (with corr. WO 01/52450)		X
	AS	WO 01/52450	07/19/2001	WIPO		
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.